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The *abnāʾ al-dawla*: The Definition and Legitimation of Identity in Response to the Fourth *Fitna*

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This article will reopen the question about the identity and provenance of the *abnāʾ al-dawla*. Who were they? When did they form as a collective and why? The standard view is that the *abnāʾ al-dawla* were the backbone of the Abbasid dynasty, coming into existence with that regime after the revolution *circa* 132/750 and consisting of the original fighters from Khurasan and their descendants, who formed an elite social and political structure of supporters. This privileged status accorded them the moniker *abnāʾ al-dawla* (sons/supporters of the dynasty).

Recent scholarship on premodern Islamic history typically allows modern conceptualizations of identity to determine the understanding of group dynamics and identity formation. However, these typically are not applicable to the third/ninth century.¹ The *abnāʾ al-dawla* are particularly in need of reinterpretation.² Still, determining who they were presents a number of difficulties. The blanket term *abnāʾ*, as it is most often used, leaves little room, in its monolithic presentation as an ethnic or nationality based group, for the subtleties of social interaction. One must not forget that the *abnāʾ al-dawla* as a political actor was made up of individuals with many other ties of identity. In the course of this article I will show that it was during the fourth *fitna* (195/810–198/813) that these individuals formed an identity for collective action. This is not based on ethnic or national affinity. Reading the sources closely reveals that they do not appear as a coherent group until that conflict, and they disappear shortly thereafter.

Scholars have taken a variety of terms as synonymous with *abnāʾ al-dawla* that actually are not. When the military units were settled in Baghdad at its founding, they were grouped roughly by region of origin representing a great diversity of locales.³ Garrisoning troops in this manner does not necessarily translate into settlement by ethnic or national identity, nor does it lead to the creation of these identities.⁴ When hostilities broke out between al-Amin

An early version of this article was presented to the 1999 annual meeting of MESA. Subsequently revised, it appeared in my “Inquisition and the Definition of Identity in Early Abbasid History” (Ph.D. diss., University of Michigan, 2001). The present article draws upon both of those but has changed significantly due to helpful comments and criticisms at each previous stage.


2. Crone notes in reference to several individuals, “They are often described as *Abnāʾ* in the secondary literature, but this merely goes to show that modern scholars routinely extend the Banawi label to all offspring of the participants in the revolution.” P. Crone, “The ‘Abbasid Abnāʾ and Sasanid Cavalrymen,” *Journal of the Royal Asiatic Society* 8 (1998): 5.


4. McCrone, *Sociology of Nationalism*, 23. “Such identifiers of ethnicity, however, do not automatically generate an ‘identity,’ because the key will be how an individual chooses to identify with these characteristics. ‘Identity’ is perception. If a particular identity does not mean anything to the population in question, this population does not

and al-Ma’mūn, individuals rose to fight based on horizontal ties of loyalty, which focused vertically on the caliph and anti-caliph. These ties were not based on a shared sense of ethnicity or nationalism but rather on linkages of local commonality, meaning quarters, bonds of patronage, and perceptions of common interests. In the process of asserting a threat or in responding to it, the various players on the field were forced to seek justification for their places and roles. They were Khurasanians (al-Ma’mūn’s forces mostly from Khurasan) facing people whose familial roots were in Khurasan but who resided in Baghdad. The defining connection for the abnā’ al-dawla was location in Baghdad. They were proud of having come from Khurasan and claimed some sense of “Khurasaniness,” but their use of the term clearly excluded Tahir and the forces of al-Ma’mūn. One side actively tried to dissociate itself from the other. In this process of dissociation, the abnā’ al-dawla came to define themselves in opposition to the others, who happened to be the rabble of Baghdad and the followers of al-Ma’mūn who was proclaiming a new da’wa. The latter were fighting for the new da’wa and were held together by their own special ties of loyalty and patronage. Al-Ma’mūn’s primary general, Tāhir b. al-Ḥusayn, exhorts his troops: “Oh friends of God and people of fidelity and gratitude, verily you are not such as those you see of the people of faithlessness and treason. They neglected what you preserved. They belittled what you esteemed. They were faithless to the oaths that you guarded . . .”

At the same time elite members of Baghdad society sought justification for their place by asserting that they were the abnā’ al-dawla, sons of the first supporters of the original da’wa, tightly connected to the caliph and to the Abbasid household. Within the Baghdad milieu, the vertical bonds of loyalty and assertion of ties to the Caliph and caliphal household brought disparate individuals into a larger body for collective action. The new da’wa of al-Ma’mūn, the approach of his forces and the siege, caused the abnā’ al-dawla to coalesce in an assertion of their unique position. However, their interests and loyalties were too diffuse to form an effective, unified military body, especially once the unifying identifier and its utility had been removed. This political subscription to an identity by a group of military supporters of the Abbasid caliphate was defined by focusing on, and in terms of, “historical” loyalty to that caliphate and the caliphate’s “historical” ties to them. The idiom in which they chose to express this allows for the description of a collective grouping but not of one that was as pervasive, cohesive, or as old as has been assumed.

PREVIOUS DEFINITIONS

In 1964, David Ayalon presented the paper “The Military Reforms of Caliph al-Mu’tasim,” which even though it was not formally published until thirty years later, has set the tone for the field and its understanding of the abnā’ al-dawla and consequently of early Abbasid history. Even though unpublished, it was passed around and took on an almost primary source-

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5. An analogous situation would be the American colonists vs. the British in the American Revolution.
like status. Brilliant in its erudition, Ayalon’s fundamental understanding of the group and its social dynamic is nevertheless flawed because of his assumptions about their national and ethnic identity which led to the notion that it was a coherent political actor from the beginning—an interpretation that has become the norm.

Ayalon’s definition of the *abnāʾ* as “the descendants of the Khurāsānīs who brought the ʿAbbāsids to the throne, and who included both Iranians and Arabs” forms a foundation for almost all studies of the early Abbasids. He considers the *abnāʾ* to have been an ethnically based coterie, which was then transplanted to Baghdad. This means that while the Arabs and the Iranians were “racially” distinct from each other, they felt, by virtue of their common origin in Khurasan, an ethnic unity. In the course of his article, Ayalon highlights the tribal struggles out of which Abū Muslim and his fighters emerged as victors. He then compares this with the army of al-Maʾmūn, which he says was made up of “racial elements” that were definitely not Arab. In 1990 Moshe Sharon refined this by adding that the original Abbasid army was much more diverse than Ayalon allows. The limiting factor was, according to Sharon, registration according to village and not by tribe. For Ayalon the leadership cadre of the Abbasid revolution was made up of Arabs who had migrated to Khurasan. However he also reads the *abnāʾ* as having explicit ties to each other and to their home region of Khurasan based on their common “national” origin. Amikam Elad goes one step further by stating that the Abbasid military was mostly made up of Arabs from the southern tribes who lived in Khurasan. Thus, they did not represent a resurgence of and takeover of the caliphate by Persians. He assumes the continuity and coherence of their identity as *abnāʾ al-dawla*, but states that they were not Iranians.

Patricia Crone, in her review of Mohsen Zakeri’s book, deals skillfully with his theory that the *abnāʾ* of the ʿAbbāsids owed their name to their descent from the participants in the revolution” and that “there can have been no Abnāʾ in this sense before the dawla took place.”

Returning to Ayalon’s definition, he notes that they are described as being Baghdadi and quite proud of this, yet at the same time they highlighted their Khurasanian origins. Therefore the *abnāʾ* felt strong, visceral connections to their home region and their “national brothers” living there. Ayalon posits the hypothesis that when Baghdad was built, the Khurasanian troops were all settled in it as an elite corps and their children and grandchildren enjoyed special status and treatment owing to their heritage as early advocates from Khurasan. Their ethnic identity bound them together. For Ayalon, this identity was based on their shared Arab racial descent which had been turned into a pseudo-Iranian ethnicity that had deepened because of their common locality in Baghdad and their status as a military aristocracy, an

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10. Ibid., 5.
11. Sharon, Revolt, 263–301; although not all of the units were so registered.
13. Ibid., 8.
15. Crone, “ʿAbbāsīd Abnāʾ,” 3; G. Le Strange, Baghdad during the Abbasid Caliphate (London: Curzon Press, 1972), 128. Le Strange, much earlier, describes the *abnāʾ* as “Persian nobles” “who were already settled in Mesopotamia at the time of the Muslim conquest.”
Arabized ethnic group, racially Arab but culturally Khurasanian. Ayalon thus observes that even though they had this distinct connection with Khurasan and pride in this, they are described in the sources as being different from Khurasanians. In addition, he interprets the abnā' as clearly separate from the Arabs. He and others are especially bound to the national character of this clique. Elton Daniel says “they had been able to forge and to maintain the revolutionary coalition by appealing to feelings of Khurasanian particularism and a vague form of shī‘ism.” Crone remarks that “generally, the Abnā’ were the bodily, as opposed to institutional, descendants of the participants in the revolution” and in a later work that “the sources regularly identified Iranians as Abnā’.” However she does allow that the abnā’ were not wholly “Iranian” or “Arab” but that they were a mixture. Jacob Lassner argues that there is a clear connection between “the abnā’ and Khurāsān and, hence, a link between the abnā’ and the Turks” and that the abnā’ were the “second generation” of Abbasid revolutionaries. Crone goes so far as to say “the Abnā’ had been designed as an imperial aristocracy.” More recently, she has contrasted them with “Tāhir’s ‘ajam [who] were raw Iranians, people who had failed to transcend their local origins by participation in the high culture.” This all seems fairly straightforward but Ayalon makes three observations that are particularly telling and have been largely ignored:

1. The Abnā’ are hardly mentioned before the struggle between Amin and Ma‘mūn. Therefore, the period of their formation and taking shape is completely obscure.
2. Never in their history were the Abnā’ as active as during that struggle.
3. The Abnā’ are stationed exclusively, or almost exclusively, in Baghdad. Practically all the information on them in the chronicles, either during the struggle or after it, is confined to that city.

TERMINOLOGY

As the preceding discussion shows, in the secondary literature the term abnā’ has been reified as if it had been in common parlance from the beginning of the Abbasid revolution to signify a group founded on almost nationalistic terms. In reality, as Ayalon has partially admitted, the term does not appear in use in this form before the war between al-Amin and al-Ma‘mūn. Scholars have assumed that a multiplicity of terms indicate the abnā’ al-dawla, which upon examination do not. Crone states that references to them began during the reign of al-Rashid and that “by far the most common term for the Abbasid troops, whatever their

17. Ibid., 19.
18. Ibid. Concerning the zawaqil incident Ayalon notes that “this is the only occasion on which Khurasani troops are mentioned as fighting for Amin”; see al-Ṭabarī, Ta‘rikh, 3: 844–45; also Ayazon, “Military Reforms,” 12.
22. Ibid., 11.
24. Crone, Slaves on Horses, 73.
25. “‘Abbāsid Abnā’,” 14. It is unfortunate that Crone chooses to encode this in terms of nationalist identity, because it is not an essentialized thing that al-Ma‘mūn’s supporters were all “Iranians,” Persians, Turks, or anything else. The assertion being made was that they were outsiders to the community.
26. Ayalon, “Military Reforms,” 7. Lassner, Shaping, 133–34, states that “the only certain claim that can be made for the abnā’, before the great civil war between the brothers, is that they are Baghdadis descended from the Khurāsānis who came to Iraq with the advance of the revolution.”
generation is ahl khurasan.”27 It is generally assumed that the terms, “abnāʾ ḥal al-dawla, baqiyyat ḥal al-dāʿwa, abnāʾ ahl khurasan, abnāʾ ḥal surāhand, abnāʾ al-jund al-khurasanīyya,” are synonyms of abnāʾ al-dawla, without reading carefully to see if they in fact are.28 In the same way that the thirteenth-century Norman rulers of England are often described as English,29 we expect to find the abnāʾ in these terms and so we have. Interestingly, Crone shows how abnāʾ of various groups are not identical and that the term abnāʾ can indicate a great range of diversity.30 Before proceeding, we should consider these terms and our assumptions about their meanings. A useful place to begin is with a perceived problem with orthography.

Ayalon remarks, “the sources frequently distort abnāʾ to anbār and abnāwī to anbārī.”31 Other scholars have taken this to be a truisum.32 Perhaps Ayalon’s desire to see them as a group and this term as referring to the abnāʾ distracted him. Anbār clearly designates a place and al-Anbārī is the nisba for that place. Located approximately sixty kilometers from Baghdad, al-Saffāḥ ruled from there for five years.33 Of course Ayalon was too careful a scholar to have missed this. What he has done is to assume that the abnāʾ as a core elite were already in existence. They went where the caliph went and because al-Saffāḥ had been there with his Khurasanian forces, the term Anbārī must therefore be synonymous with Abnāwī. Consider that al-Yaʿqūbī relates: “and while Abū Jaʿfar was on the pilgrimage, ʿĪsā b. ʿAlī received the oath from whomever was from al-Hashimiyya34 and the commanders from al-Anbār . . . then Abū Muslim and whoever was present from al-Hashimiyya and the commanders took the oath.”35 Before the founding of Baghdad, al-Saffāḥ had been ruling from Anbār and naturally these people are designated as living in and being part of the capital. Also notice that those who are from al-Hashimiyya, where al-Maʾṣūr had ensconced his supporters, are given the nisba Ḥashimiyya. This designation, as a parallel, indicates that al-Anbārī is a correct usage.36 More tellingly, al-Yaʿqūbī in his Kitāb al-Buldān informs us that the Anbārī secretaries were settled in Baghdad.37

Elad notes that the person named, ʿAbdawayh al-Anbārī, is the victim of “a common orthographical error.”38 The latter’s name should be al-Abnāwī, but al-Anbārī has been substituted by mistake. However, Elad does not produce an instance where the name is rendered as al-Abnāwī.39 Crone manages to produce a case of the latter that occurs after the fourth

28. Ibid.
33. J. Lassner, The Topography of Baghdad (Detroit: Wayne State Univ. Press, 1970), 123. He says that it was the capital for a short time; however, see al-Ṭabarī, Taʿrīkh, 3: 89, 91–93 (Abū Muslim leaves and takes with him all of the commanders in Anbār); 99, 271 (Al-ʿĀṣim moves the capital from Anbār to al-Hāshimiyya where he and his followers had already settled). Abū Ḥanīfa ʿAbdawayh al-Dinawarī, Kitāb al-ʿAkhbār al-tiwāl, ed. Aḥmad b. ʿAbd al-Munim Amir (Cairo: 1960), 390, also shows al-Rashīd spending time in Anbār, bypassing Baghdad.
36. Ḥashimiyya is also a tribal nisba, but here it clearly indicates a locality.
39. In all of the texts to which he refers, the individual’s name is given either with the nisba al-anbārī or with none. Al-Ṭabarī, Taʿrīkh, 3: 630, clearly rendered as al-anbārī, p. 1035.7, no nisba is given. ʿIzz al-Dīn Ibn al-Athīr,
fitna. She also cites a few instances in addition to those cited by Elad which she assumes indicate that he is Abnawi, but which in fact do not. The rendering al-Anbārī is not an orthographic error. ‘Abdawayh is Anbari, “from the city of Anbār.” This does not necessarily mean that he is Abnawi. The two terms are not interchangeable, nor can we say that it is merely the slip of a copyist’s hand that has rendered him such. It appears far too consistently. If one is going to assume that a deviation has occurred, then the preponderance of the evidence points in the opposite direction, that of al-Abnāwī being a mistaken rendering of al-Anbārī.

Elad also states in the same footnote that the well-known ‘Abd al-Rahmān b. Jabala al-Abnāwī is sometimes referred to as al-Anbārī and cites al-Ṭabari as evidence that this is a mistake. However, the manuscript says al-Anbārī. The editor in the Addenda et emendanda suggests replacing it with al-Abnāwī, but the source in its original form identifies him as al-Anbārī. This case deserves further consideration, because in al-Ṭabari references to this figure identify him as both al-Abnāwī and al-Abnāwī. He appears three times with the nisba al-Abnāwī, under the years 185/801, 195/811. The last time is a brief mention of when he is sent by al-Amin to fight Tāhir. Thereafter his name shifts to al-Abnāwī. The first reference as al-Abnāwī occurs during the year 195/811 as part of a fuller description of his campaign against Tāhir. However, we should compare this passage with some equivalent passages:

al-Ṭabari: wajjaha ‘Abd al-Rahmān al-abnāwī fi ‘ishrin alf rajul min al-abnāwī


Was Ibn al-Athir correcting what he perceived to be a mistake in al-Ṭabari? Admittedly Ibn al-Athir is a much later source, but there is another early parallel in the Fragmenta Historicum Arabicorum which takes a slightly, though informatively, different form:

inna al-Amin qad naffadha ‘Abd al-Rahmān b. Jabala al-anbārī ilā Hamādhan wa qad intahhaba la-hu ‘ishrin alf rajul min al-abnār

40. “cAbbdsid Abni’,” 8 n. 68: in Muhammad b. Yisuf Kindi, The Governors and Judges of Egypt, ed. R. Guest and A. A. al-Askalami (London: Luzac and Co., 1912), 183.7–8, he is noted (in the year 212/827) as al-abnawl. In the same note Crone considers the case of ‘Ali b. Jabala. In reference to this individual, a relative of ‘Abd al-Rahmān b. Jabala, in al-Ṭabarî and al-Baladharī no nisba is given. However, in the ‘Abi al-Faraj Isfahānī, al-Aghānī, 24 vols. (Cairo: Dār al-Kutub al-Miṣri, 1927–1974), 20: 14, he is described as “min abnā’ al-shī‘a al-khurāsānīyya min ahl Baghdad.” Keep in mind, I am not arguing that the abnā’ did not exist or that they were not what they claimed to be.


44. al-Ṭabarî, Ta’rīkh, 3: 826.18–827.1, 827.16–17. There are two accounts of his being dispatched to fight Tāhir in al-Ṭabarî. Also see p. 804. I will discuss this point below.

45. al-Ṭabarî, Ta’rīkh, 3: 826.18–827.1

46. Ibn al-Athir, al-Kāmil, 5: 146.5–6. He is again identified as al-Anbārī in line 24.

47. M. J. De Geoe, Fragmenta historicum arabicum, 2 vols. (Leiden: E. J. Brill, 1869), 325.9. Throughout this text Anbārī/Anbārī appear where under the old paradigm one expects to find abnā’; in one instance (p. 321.11) abnā’ appears, but as abnā’ al-muluk.
Given that the Fragmenta is usually quite close to the text of al-Ṭabari, the variation is significant. Al-Ṭabari’s first mention of ʿĀbd ar-Raḥmān being sent out to fight Tāhīr renders him as al-Abnāʾī, indicating that his name was in flux and that the assertion under the year 195/811 that he is al-Abnāʾī is an ideological identification.48 In al-Dīnawarī he is also designated al-Abnāʾī in the same context of his march to meet Tāhīr in battle.49 The second episode in which he appears as al-Abnāʾī in al-Ṭabari occurs in the account of his battle with Tāhīr, also in 195/811.50 The final reference occurs under the year 196/812 when his death is reported to al-Maʿmūn.51 Prior to the fourth fitna, before he is dispatched against Tāhīr, he is referred to as al-Abnāʾī. During the fourth fitna when he leads the troops against Tāhīr, his name changes to al-Abnāʾī. The timing of this shift fits perfectly within my paradigm of the rise of the collective identity during the fourth fitna in response to al-Malāʾīn’s threat. Because scholars assume that a person must be Abnāʾī, they have ignored the majority of the evidence that renders both ʿĀbdawayh and ʿĀbd al-Raḥmān as al-Abnāʾī. Again, if one were going to argue that an orthographic error has occurred, one would have to argue that the occurrences of al-Abnāʾī are a mistake.

Now let us turn to the interpretation of terms. Ayalon states: “that a conjunction between two terms does not necessarily imply that the terms are different in meaning, can be learnt from phrases like: Ahl Baghdād wal-Harbiyya; al-Jund min al-Harbiyya wal-Baghdādiyyin . . . al-Abnāʾ wa-Ahl Baghdād . . . al-Jund wal-Abnāʾ.”52 All of these references are found in al-Ṭabari under the years 196/812 or 201/816, in the throes of the fourth fitna and afterwards. The reference to ahl Baghdād wal-Ḥarbiyya; al-Jund min al-Ḥarbiyya wal-Baghdādiyyin occurs under the year 201/816. However, there is a distinction made between these groups. Further down the page from that reference we read: “The Ḥarbiyya rose against them and expelled them and made Ishāq b. Musa b. al-Mahdī al-Maʿmūn’s ruler in Baghdad. Then the people from both sides [of the river] gathered and agreed about this.”53 Here, the ahl Ḥarbiyya and the ahl Baghdād (indicated as the ahl-jāniḥayn) are clearly different. The ahl Ḥarbiyya was a subset of the ahl Baghdād. The phrase al-Abnāʾ wa-ahl Baghdād that Ayalon quotes occurs under 196/812. Here too a distinction is made: “He mentioned that the abnāʾ and people of Baghdad met him with honor and exaltation. They erected tents for him, and the commanders, chiefs, and nobles went out to meet him.”54 The use of the definite article in “the abnāʾ” and the lack of it with “people of Baghdad” indicates that abnāʾ is also a subset of a larger grouping. The third reference Ayalon uses occurs under the same year. That reference reads, “he dispatched with him a guard from the army and the abnāʾ.”55 The guard is made up of soldiers from the regular army and from the abnāʾ, two different entities.

In much the same vein, Elad argues that the prominent men of the ʿAbbāsīd Daʿwa, who were also the senior commanders of the Ahl Khurāsān, were called al-Shīʿa, Shiʿat ahl-Khurāsān, or sometimes Shiʿat amir al-Muʿminin. In later periods their sons and descendants were called (among other epithets) Abnāʾ al-Shīʿa. It

48. Cf. al-Ṭabari, Taʾrīkh, 3: 804.6 (al-Abnāʾī); also 3: 826–29 (al-Abnāʾī).
49. al-Dīnawarī, Kitāb al-Akhbār, 398.15, 21. Unfortunately, he does not appear earlier in the text for comparison.
50. al-Ṭabari, Taʾrīkh, 3: 831–33; on 832 he is eulogized as ʿAbd al-Raḥmān b. Jabala al-Abnāʾī.
51. Ibid., 841.8.
52. “Military Reforms,” 32. In spite of this assertion, he chooses to read the conjunction “al-abnāʾ wa ahl khurāsān” as indicating two distinct groups. See al-Ṭabari, Taʾrīkh, 3: 844.
53. al-Ṭabari, Taʾrīkh, 3: 998.20–999.2.
55. al-Ṭabari, Taʾrīkh, 3: 842.20.

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was customary to add the "nickname" al-Shi'a, to the small number of propagandists for the 'Abbásid cause in Khurásán.56

He equates these with the ahl Khurásán and thus with the abnā’. He cites three instances in al-Ṭabarī as his evidence. In two of these, the term “shi’a” indicates “partisans” in reference to the ahl Khurásán, but its use is generic and is not synonymous with abnā’ al-dawla.57 In the third passage, the ahl Khurásán are clearly denoted as the ansār and the shi’a of the regime: “... ibta’athakum Allāh lanā shi’ta’tan wa-ansārān.”58 As before, the terms are used in a generic sense. This usage does not indicate that everywhere we see “shi’a” or “ansār” we can automatically convert them to mean ahl Khurásán and therefore abnā’ al-dawla.59 Elad also mentions an incident occurring in 147/764 under the reign of al-Manṣūr where someone says, “O Commander of the Faithful, thirty men from the important ones of the Shi’a were brought together from those whom you [had] chosen.”60 Taken out of context, this might seem to indicate the abnā’ al-dawla. However, the comment comes during a dispute over the succession. The text is describing al-Manṣūr’s appointment of his heir and the various groups that are intriguing to have their candidate selected.61 Here “shi’a” indicates the partisans in this struggle.62 The assumption is that because the abnā’ existed, then all of these terms must have been used to designate them. This is not the case. They are not equivalent with each other or with abnā’ al-dawla. They do clearly indicate supporters of various components of the Abbasid regime; that much is not subject to debate. However, “abnā’ al-dawla” has a much more specific usage. If we start with the supposition that the abnā’ had not formed yet, the multiplicity of terms can be easily explained as indicating a diversity of people.

To elaborate further, one cannot deny that the troops of the early Abbasids are overwhelmingly described as being Khurasanian. However, Elad notes quite correctly that...

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57. al-Ṭabarī, Ta’rikh, 3: 345–46; p. 444.1 which reads “... bi ahl khurāsān khayrān fa-inna-hum ansārāra wa shi’ta’taka ...”; another instance on p. 430.15–16 reads “qāla yā ahl khurāsān āntum shi’ta’tana wa ansārana wa ahl dawlata’ta ...” In this case the first person plural pronouns should be read in the sense of the royal “we.”
58. al-Ṭabarī, Ta’rikh, 3: 431.20–432.1.
59. E.g., see al-Ṭabarī, The ‘Abbāsid Caliphate in Equilibrium, trans. C. E. Bosworth, vol. 30 (Albany: State Univ. of New York Press, 1989), 46 (170 A.H.), when those who are conspiring against Hārūn al-Rashid are described as al-Shi’a, “the partisans.” Bosworth misses the context and reads it as indicating the abnā’ al-dawla, which, given that context, clearly is not.
62. Anonymous, Akhbar al-dawlāh al-‘Abbāsīyah, ed. A’. A. Duri (Beirut: al-Talia Publishing Co., 1971), 203, 208, 213, 223 is also cited. However, while the term Shi’a is used, it is used as indicating partisans of an individual.
63. “Aspects of the Transition,” 98 n. 42.
this was the region in which the revolt began and where the Abbasids recruited much of their army. However, this preponderance of Khurasanians does not allow us to extrapolate that from the outset, or even in the second generation, they automatically formed the collective identity that is represented by the term abnāʾ al-dawla. I am not arguing that the ahl Khurāsān and the abnāʾ al-dawla are separate groupings; I am arguing that the abnāʾ is a subset of the ahl Khurāsān that forms during the fourth fitna.

Now let us turn to consideration of where and when the word “abnāʾ” actually appears. The first occurrence of abnāʾ in al-Ṭabarî is “and al-Ḥāzmī al-Sughdī with a thousand Abnāʾ of the Yaman.”64 As this describes the descendants of a group of Persians who had immigrated to Yemen before the rise of Islam, it does not refer to the Khurasanian supporters of the Abbasids. Although this is not in dispute, the point here is that “abnāʾ” does not always indicate abnāʾ al-dawla, and the definition of a specific usage must be considered in light of the context in which it occurs. For example, an intriguing use of the term that could be construed to indicate abnāʾ al-dawla is: “and with him was the army of al-Shām, the Jazira and Mosul. The Banū Umayya collected together their abnāʾ with him.”65 The “him” in question is Marwān. This form clearly refers to general supporters, those who were tied to the household of the caliph. It does not refer to hereditary proponents or family and certainly does not indicate followers of the Abbasids.66 This was an acknowledgement of attachment to the caliph’s house, the same claim that was later made during the fourth fitna. Crone says that the first application of the term abnāʾ is “al-Yaʿqūbī’s statement that ‘Īsā b. ‘Alī wa-man ḥadara min al-abnāʾ were reluctant to inform cAbdallah b. cAli of al-Manṣūr’s accession (in 136/754).”67 She dismisses this as an isolated ascription and most probably anachronistic. It is isolated and possibly anachronistic but it is tempting to view it, as in many of the cases to be discussed below, having a contextual meaning of “followers” as a generic term and not specifically referring to the abnāʾ al-dawla. Elad argues, “military units bearing this name [abnāʾ al-dawla] naturally developed a little later, toward the end of al-Manṣūr’s reign.”68 He cites al-Dinawārī as evidence for this process. A couple of points must be made. First, the text Elad cites is referring to the reign of al-Rashīd and not that of al-Manṣūr.69 Second, while it does mention the abnāʾ ahl Khurāsān, this, however, does not indicate the abnāʾ al-dawla. As stated above, the abnāʾ al-dawla were a subset of the ahl Khurāsān, but this does not mean that the terms express equivalency. Not all the members of the ahl Khurāsān or abnāʾ ahl Khurāsān are identified as abnāʾ al-dawla. Only those who had their roots in Baghdad and were present immediately before, during, and after the fourth fitna are referred to as such.

Elad says that the “first reference to military units made of Abnāʾ is in 178/794–795.”70 He locates this in al-Ṭabarî, but upon examination nowhere is the term abnāʾ to be found.71
Elad assumes that the reference to `Abdawayh al-Anbārī is an error and that what is meant is a reference to the abnā'. As we have seen, however, it is not a mistake. According to Lassner, the earliest indication of a coherent grouping comes with the statement in al-Ṭabarī: “w[jhen] al-Mahdi sent Ḥarūn on the summer expedition he sent him on, he ordered that the secretaries of the abnā' al-da'wah should be sent in to him so that he could inspect them and choose one of them for him.” But in the next few lines al-Ṭabarī relates: “I examined carefully the sons of my party/partisans (abnā' shiʿatti) and the people of my rule (dawlati).” The personal pronouns are important. These are the sons of his party and his rule that he is choosing from, as opposed to the sons of the party of his father or of his father’s rule. These statements are clearly describing individual supporters of the current caliph and do not indicate that the people that he is talking about belong to a coherent group. They are categorizing partisans of al-Mahdi for the purpose of a job search and, as in the earlier case of the supporters of Marwān, the usage of abnā' here does not indicate lineal sons but followers.

Crone finds the term cropping up more frequently under the reign of al-Rashīd. “In 189/804f the Khurāsānīs asked Ḥarūn to replace ʿAlī b. ʿĪsā with anyone from kufāṭīhi wa-anšārīhi wa-abnā' dawlatīhi wa quwwādihi.” Again, the key to understanding this is to read it more closely, focusing on the possessive pronouns, kufāṭīhi, anšārīhi, abnā' al-dawlatīhi, quwwādihi. They are not requesting someone from the abnā' al-dawla. They are requesting that the Commander of the Faithful send a replacement from “whomever he preferred from (among) his competent officials, his aides, sons/supporters of his rule and his commanders.” They are asking for someone, anyone other than ʿAlī b. ʿĪsā from the current command structure in Baghdad, to be sent to govern them.

Turning back to Ayalon, he cites a passage in al-Ṭabarī as evidence for the abnā' appearing before the fourth fitna. However, it says: “Ujayf b. Anbasa and al-Ahwāṣ b. Muhājir withdrew [along] with numbers of [the] abnā' al-shi'a from Raffil b. Layth and went to Harthama.” This does not indicate abnā' al-dawla. “Abnā' al-shi'a” indicates “sons of the partisans.” The question that should be asked is, partisans of whom? Ujayf b. Anbasa appears later as a supporter and commander under al-Ma'mūn. In reference to another example, Ayalon says “it is interesting to note that al-Rawandi’s followers were called Abnā’ al-dawla, after their leader’s book, Kitāb al-Dawla.” The source for this notion is Ibn al-Nadim’s Fihrist, which says:

72. Lassner, Shaping, 133. Elad, “Aspects of the Transition,” 177, says that the kutāb abnā' al-da'wa were the propagandists for the revolution. On p. 99, based on this selection, he says that they “constituted something of a sect with a unique status (the most outstanding of whom, were, of course, the Barmakīds).” How he extrapolates from this to label them a sect is somewhat baffling.

73. al-Ṭabarī, Ta'rikh, 3: 498.5–6; Crone, “The 'Abbāsid Abnā’,” 3. Crone notes that the person who was chosen was Yāḥyā b. Khālid b. Barmak, a descendant of one of the revolutionaries. I do not deny that those who claimed to be abnā' during the fourth fitna most probably were descended from the “revolutionaries.” However, I am arguing that the point at which these individuals began to subscribe to that identity was much later than is assumed.

74. “'Abbāsid Abnā’,” 4.


77. al-Ṭabarī, Ta'rikh, 3: 732.15–16. An equivalent to this passage appears Ibn al-Athīr, al-Kāmil, 5: 128. There is no mention of “Shi'a” or “abnā’.”

78. He appears in al-Ṭabarī, Ta'rikh, 3: 1093, 1102, 1103, 1105, 1107, 1108, 1109.

and al-Rawandi, who produced the *Kitāb al-dawla*, used to be his [al-Shaybani’s] neighbor. And the Rawandiyya, the *abnāʾ al-dawla*, used to gather together to him and he [al-Rawandi] used to go purposefully (on the) day of the *majlis* of Muḥammad and he would sit in the *majlis* in the mosque and he would read it [*Kitāb al-dawla*] unto them and when a man from (among) the followers of Muḥammad (would) read a thing from his books they shouted at him and they silenced him.80

Crone calls Ayalon’s interpretation of this “mysterious.”81 At first glance it might seem strange, but Ayalon has clearly considered the context and has read “abnāʾ” here as indicating followers. The Rawandiyya are equated with an *abnāʾ al-dawla*. They are not the genetic descendants of the supporters of the Abbasids but very specifically the followers of al-Rawandi and his book *Kitāb al-Dawla*.82 Ayalon’s interpretation stands.

Seemingly, the first use of the actual phrase *abnāʾ al-dawla* in al-Tabari is of a man responding to al-Rashid’s inquiry into his origins, saying: “I stem from the progeny of the ‘sons of the dynasty’; my family origin is from Marw and my birthplace is the City of Peace.”83 This is Bosworth’s translation of this sentence. Now let us look at the Arabic and see what it says: *and rajul min aʿqdb abnaʾ hadhihi 1-dawla wa asli min marw wa muwalladi madi- nat al-salam*. This is a much more general statement—“from the sons of this rule,” as opposed to sons/supporters of the previous one, with “dawla” referring to al-Rashid’s rule.84 This is an assertion of individual connection to the household of the caliph, not a claim of membership in an ethnicity or of an institutional grouping. This man identifies himself as a supporter of the *dawla*, but this is a political assertion of individual connectivity and spatial relationship to a specific ruler, which is then followed by information about where he and his family are from. If this were more than an individual assertion of connection to the household, it would be much more prevalent in the idiom of identification.

Crone notes a particular problem with the sources and how to interpret them:

[the sources identify the *Abnāʿ* as the physical descendents of the participants in the revolution, and all the individuals described as *Abnāʿ* actually did or could descend from such participants; they include some Arabs. Yet with the exception of Qahtaba’s grandson, the descendents of the most prominent Arab participants are never characterized as *Abnāʿ*, and one is specifically excluded from their ranks.85

Crone finds a solution for this in the fourth *fitna*. She argues that most of the revolutionaries were settled in Baghdad at its founding. In turn, their descendants were the ones who fought alongside al-Amin against al-Ma’mūn and thus the term “came to be synonymous with al-Amin’s Baghdadi adherents; sons of the revolutionaries who supported al-Ma’mūn ceased to count as Banawis.”86 She thus claims that the term was narrowed, from including all of the *ahl Khurāsān* to only those who aided al-Amin. She argues that they were not only located in Baghdad and that al-Jāḥiṣ, Ibn Ṭayfūr, and al-Yaʿqūbī were wrong in their assertions

81. “‘Abbasid Abnāʾ,” 4 n. 32.  
82. Also see al-Ṭabarī, *Ṭārikh*, 3: 497.1, “... and indeed we found with him the *kitāb al-dawla*.”  
84. “‘Abbasid Abnāʾ,” 9.  
85. Ibid.
otherwise. She cites several examples from different sources for this, which deserve examination before we can continue. She finds evidence in al-Kindī for the *abnāʾ* in Egypt. However, this reference is not evidence for the *abnāʾ* living in or being from anywhere other than Baghdad, in fact just the opposite: a contingent of *abnāʾ* is dispatched from Baghdad, indicating that this was where they were located. However, under closer scrutiny, Hātim b. Harthama b. Ḥāṭim b. Aʿyān was sent to be the governor of Egypt by al-Amīn in 194/809–10. Most importantly, he was allotted “1,000 from the *abnāʾ*.” According to this account, this incident took place before the fourth *fitna* had started. However, this seems to be an isolated ascription. The term *abnāʾ* does not appear in any form in the entries for governors under either al-Rashīd or al-Maʿmūn’s rule. Al-Yaḥṣūbi provides information that helps to clear up the confusion. He tells us that Hātim b. Harthama b. Aʿyān was dispatched to govern Egypt after the defeat of ʿAli b. Ḫāṣbā b. Māḥān in the year 195/811 and he faced people in Egypt who were calling for changing allegiance to al-Maʿmūn.

Crone cites another snippet from al-Ṭabarī for evidence of the *abnāʾ* in Tarsus. This passage, which occurs upon the death of al-Maʿmūn, is rendered in the translation of Bosworth as “they entrusted the task of watching over him to a guard composed of men from the garrison of *Abnāʾ* [Khurasanians] in Tarsus and others . . .” The Arabic is “*thumma waqkalahu bi-hi ḥarasan min *abnāʾ* ahl *tarsiis*” meaning “then they assigned for him a guard from among the sons of the people of Tarsus.” This does not indicate *abnāʾ* al-dawla. It is likely that there were people referring to themselves as *abnāʾ* at this time, but this is not one of those occasions. Crone comments that “not all the Iraqi scholars described as *abnāʾ* ahl Khurāsān necessarily descended from members of the Khurāsānī army.” Reading through 166 names under Ibn Saʿd’s division for Baghdad yields that neither the nisba *abnāwi* nor the term *banawi* appear at all. The term *abnāʾ* khurāsan appears twice; *abnāʾ* ahl khurāsan appears thirteen times; *ahl khurasan* appears twice. Where one would expect to find the *abnāʾ* al-dawla and *abnāʾ* al-daʿwa in large numbers, there are no references. In fact, there are only seventeen out of 166 references to individuals who could be construed in the old paradigm as *abnāʾ*, which is a strikingly small number. Importantly, they all died after the fourth *fitna*. For most of the individuals who could possibly be interpreted as *abnāʾ* (ten of seventeen) immediately following this identification, their specific geographical origin is given.

One entry in particular deserves closer inspection.

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87. Ibid., 9–10: “Ibn Ṭayfūr also thinks of the *Abnāʾ* as the soldiers who opposed al-Maʿmūn (adding that they obeyed him in the end)”; Ahmad Ibn Abī Taḥīr Ṭayfūr, Sechster Band des Kitāb Bağdād, ed. Hans Keller (Leipzig: O. Harrassowitz, 1908), 144: “*wa hum qāmū bi-harb amīr al-Muʾminīn*.” There are many references that Crone cites which I have checked, but due to space considerations am unable to expand upon here. However, I have noted a representative sample. This is true for Elad as well.

88. “ʿAbbāsīd *Abnāʾ*,” 4 n. 31.

89. Kindī, Governors and Judges of Egypt, 147.

90. Ibid., 147.6.

91. al-Yaḥṣūbi, Taʾrikh, 2: 533.


93. al-Ṭabarī, Taʾrikh, 3: 1140.12.

94. “ʿAbbāsīd *Abnāʾ*,” 3 n. 16.

95. Muhammad Ibn Saʿd, Biographien Muhammeds, ed. E. Sachau (Leiden: E. J. Brill, 1904), v. 7, part 2, 66–99. This generation’s death dates span the period from 207/822 to 238/852.

96. Admittedly, further research in Ibn Saʿd and other biographical sources is needed for a more representative sample.
Al-Ḥusayn b. Ibrāhīm b. al-Ḥurra . . . and he was from the abnāʾ ahl Khurāsān from ahl nasā. His father was one of those who left in the call of the Abbasids . . . and al-Ḥusayn was raised in Baghdad.97

This entry explicitly describes al-Ḥusayn in terms by which he should be labeled as abnāʾ al-dawla, yet he is not. Why not? Why does he receive the label abnāʾ ahl Khurāsān instead? Did he not support al-Āmin? It might be argued that this is a case that shows the terms are equivalent, but consideration in conjunction with the scarcity of usage of the term abnāʾ al-dawla in any significant way renders this unlikely. It is important that we consider that even if the sources we have are not representative, at the very least they are diverse enough that if the terms abnāʾ and abnāʾ al-dawla were a common identifier for a socially and politically significant group of elite players with close ties to the caliphs and which formed one of the most significant sources of support for the ruling structure, then our texts would reflect that and the terms abnāʾ or abnāʾ al-dawla signifying this group would show up more regularly. The prominence of usage at a specific point in time (during the fourth fitna) clearly indicates a shift in terminology and meanings.

Crone points out that for al-Jāḥiẓ “it is their residence in the capital and implied political activities there that distinguish them from the Khurāsānīs . . . ”98 Thus al-Jāḥiẓ viewed the main support both for the Abbasid revolution and for al-Maʾmūn’s putsch as coming from Khurasanians, and the term abnāʾ was one way to distinguish between the two groups. Crone opines that Ayalon’s paradigm holds up well, except that “it merely so happens that the fourth civil war caused the term to shrink . . . the term came to be largely synonymous with members of the Ḥarbiyya.”99 Crone’s solution can be flipped on its head to provide an equally plausible alternative solution. Her argument rests on the existence of the abnāʾ as a well-formed social and political grouping before the fourth fitna. The mechanisms that are at work during the fourth fitna are ignored. Crone assumes that the abnāʾ who fought on the side of al-Maʾmūn would have willingly surrendered their title and status without attempting to counter this.100 In this paradigm the groups are renamed without impact and without consideration of what that renaming carries with it. Crone observes, “the descendants of Muʿādh b. Muslim, a client of B. Dhuḥl who was one of the ahl al-dawla, likewise had ties of fostering with the caliphs, though they are never explicitly characterized as Abnāʾ.”101 She is using this to contrast with Yahyā b. Khālid b. Barmak who was an “Iranian” and “the very first individual to be singled out as” abnāʾ.102 As her evidence for this, she cites that he was the man chosen by al-Mahdī to go with al-Rashīd on the summer expedition. Again I point to this text with the reminder that Yahyā is noted as a member of abnāʾ shiʿāti and the ahl dawlati.103 He is one of the partisans of al-Mahdī and one of the people of his rule, in a situation that is charged with a fractious struggle over the succession. These ascriptions do not indicate membership in a broader grouping.

98. “ʿAbbasid Abnāʾ,” 9; also noted by Lassner, Shaping, 132.
100. Seemingly, this also implies that al-Maʾmūn’s army was made up of Arabs, because as Crone puts it, the Arabs already “had a prestigious identity” and had no need to assert their status as participants in the revolution. “ʿAbbasid Abnāʾ,” 10–11, 9: “The descendants of the best known ahl al-dawla mostly did support al-Maʾmūn . . . so the only offspring of a famed participant in the revolution to be explicitly linked with the Abnāʾ is ʿAbdallāh b. Ḥumayd b. Qaṭṭāba . . . ” who fought for al-Āmin.
102. Ibid.
103. al-Ṭabarānī, Taʾrīkh, 3: 498.6.
Crone cites Ibn Ṭayfūr’s Kitāb Baghdad as saying that “their fathers are the ones who conducted the revolution.”104 The text clearly says: wa-ʿabāʿuhum hum alladhina qāḍī al-dawla/ and their fathers were the leaders of the dawla.105 Again, the claim that they were descendants of the original revolutionaries is not in dispute. In fact, it was precisely this element that gave their claim legitimacy. It is the timing of when and who began to assert the claim that is significant. Ibn Ṭayfūr also relates in the same line that the abnāʾ were the ones who rose up and fought against al-Maʾmūn in the fourth fitna.106 He makes it explicitly clear who the abnāʾ are: they are the sons of the original supporters in Baghdad who fought against al-Maʾmūn. Crone seeks to account for the statements of al-Jāḥīz, al-Yaqūṭī, and Ibn Ṭayfūr that the abnāʾ were Baghdadi, by stating that in the Harbiyya quarter “[m]ost of them must have been ethnic Iranians. Because these people predominated in al-Amin’s army and the subsequent opposition to al-Maʾmūn, they so-to-speak hijacked the term abnāʾ al-dawla, and so a Banawi in the sources is almost always a non-Arab.”107 This and the argument that the siege caused the term abnāʾ al-dawla to narrow make sense, if we accept that the abnāʾ al-dawla as a category and identity existed long before the fourth fitna and that those residing outside of Baghdad relinquished the title and status without a murmur.

But if this assumption is set aside, we can process all of this information in an alternative way. Crone is partially correct when she makes the observation that “it was their political role which singled them out from everybody else. Collectively, they were abnāʾ khurāṣān al-muwalladin, people of Khurāsān descent born ‘here’, i.e. in the capital, not ordinary Khurāsānīs, let alone ordinary Arabs or Iranians devoid of special ties with the ‘Abbāsid house.’” I suggest that the Baghdadi descendants of the revolutionaries who supported al-Amin defined themselves during the fourth fitna as the abnāʾ al-dawla in an effort to stake their claim to membership in the household and the immutability of their position in defense against the coming onslaught of al-Maʾmūn’s followers, thus making their claim against those who might take their place.109 It is interesting to note that during the siege of Baghdad there was considerable fluidity, with offers of increased payments triggering defections from both sides.110 We see people who would otherwise have been labeled as abnāʾ joining up with Ṭāhir due to anger at al-Amin’s overtures. Crone makes a key observation but chooses to ignore it: “The Abnāʾ regarded the ‘Abbāsid caliphate as a bulwark against such people, who might otherwise absorb them . . .”111 It was this threat that drove them to find their collective identity and also drove them to collective action. Baghdad and the fourth fitna was where and when they formed, and they were thus defined by this context. Using this as our basic assumption, the assertions of al-Jāḥīz, Ibn Ṭayfūr, and al-Yaqūṭī make perfect sense.

Terminology shifts have meaning and indicate stress on a social system. The abnāʾ al-dawla changed their identification from ahl Khurāsān, etc., in opposition to al-Maʾmūn’s
men. They were staking a specific claim to a place in society, in response to a threat to that place. The collective “abnā’” came together as abnā’ at this point and not before. It is not necessary to go through all that Crone and others have gone through to explain why the abnā’ show up so clearly during the fourth fitna and not before and really not much afterwards. Occam’s razor applies. Before, the term ahl Khurāsān had been a more inclusive one.

For the elite of Baghdad, in the face of the opposition of al-Ma’mūn, it was prudent, expedient, and necessary to narrow the categories of belonging to exclude his followers. What we see is not just a narrowing in terminology but a paradigm shift. The definitions and terminology are changed to reflect a realignment of forces and groupings. The abnā’ al-dawla staked a claim to status as members of the household as original supporters of the regime, but they had to do so in a way that excluded al-Ma’mūn’s forces while differentiating themselves from the rabble of Baghdad (the “naked warriors”) that al-Amīn was arming. In Egypt the call to switch allegiance to al-Ma’mūn is addressed to the ahl Khurasān and not to the abnā’ al-dawla. The abnā’ could not use the regional identity of “Khurasaniness” as ahl Khurasān or solely descent from an original supporter; but these, combined with the locality of Baghdad, offered a convenient limiter. Thus they are Khurasanian but different from al-Ma’mūn’s Khurasanians, descendants of the original supporters but different from those supporting al-Ma’mūn’s new da’wa because they remain faithful to the original da’wa. At the same time, their ties to the dynasty ran deep, unlike the rabble of Baghdad who rose to support al-Amīn.

In the ninth century, people, especially the so-called abnā’, defined their public identity in relation to the “state” (meaning the ruling body) and their fellow members of society and these ascriptions tied them and society together. When the state, in the form of the caliph, was replaced, these identifications had to be renegotiated. In the case of the abnā’, the identity formed in response to the threat from the “usurper,” al-Ma’mūn. They called themselves the abnā’ al-dawla as a means of asserting their claim to legitimacy and their attachment to this caliph (al-Amīn) in contrast to a competing claim to elite status. I do not deny the existence of ethnic or cultural differences. The question that must be asked is when and if these are relevant to the motivations and activities of people. The determining variables for the abnā’ collective identity were physical location in Baghdad and specific ties to the caliph. When they are designated as the abnā’, they are clearly and exclusively inhabitants of Baghdad. The claim posits position and opposition. In essence: “We are the abnā’ al-dawla, the sons of the regime, the legitimate heirs to the original supporters of the regime.”

As a result of this, we are entitled to our privileged access to the bounty of the regime.” “We are not the rabble (the naked warriors) nor are we the newcomers/faithless-ones (sc. Tāhir, Harthama b. A’yan, and their forces).” The logic appears to be that if “we” are the physical members of the house, then “we” cannot be removed from “our” positions.

112. Kindī, Governors and Judges of Egypt, 148.10: wa danā’ ilāh khurāsān fi khala’i Muḥammad wa’l-‘aqqād lil-Ma’mūn.
114. E.g., see al-Yāqūbī, al-Ta’rīkh, 5: 547.4–5, in the year 201/816–17: “we are the helpers of your regime and indeed we fear that you will take [rule] this state/regime by what [is] related in it from the directions/guide of the Zoroastrians.”
115. See al-Ṭabarī, Ta’rīkh, 3: 792.18–793.3: “And he said, so what is your opinion about the armies of ‘Abdallāh? He replied, ‘they are a people [who are] on the way of their cause because of the length of their effort and what they are in agreement about their concern.’ And what of their generality [commoners]? He replied, ‘they are a people who were in great tribulation as a result of the injustice done unto them. And because they, through him, have
Under the year 195/811, in the account of the battle between Tāhir and ʿAbd al-Raḥmān, we read: “ʿAbd al-Raḥmān was repeatedly saying to his companions, ‘Oh ʿAbdān? Oh sons of kings, and [those] familiar with swords! Verily they are the ʿajam and are neither the same as people of endurance nor steadfastness.’”116 It is a claim to historical connectedness in “vertical time.”117 The term ‘ajam does not of necessity indicate “Persian,” but it does refer, in a pejorative manner, to a newcomer to the community, thus impugning the status of the warriors under Tāhir’s command.118 This is an assertion of the pre-existence of a status, not unlike the claims made by nationalists for the historical connectedness of their people. Declarations of this nature tend to have some element of truth; those who were claiming this status were the descendants of the Abbasid revolutionaries. However, it should be pointed out that Tāhir’s father was a Khurasanian commander and his grandfather fought on the side of Abī Muslim in the original revolt, yet he is not identified as one of the abnāʾ.119 In spite of what should have been a common bond, origin from Khurasan, the approach of al-Maʿmūn’s forces signaled the threat of the beginning of a new regime. These individuals chose the identity of the abnāʾ al-dawla, because it was a claim that they could sustain of physical attachment to the dynasty and to the caliph. Al-Jāḥiẓ quotes one of the abnāʾ: “[w]e were brought up by the caliphs and we are the neighbors of viziers. We were born in the courts of our kings and under the wings of our caliphs. We adopted their manners and followed their example. We know nobody else but them and we are known only as their(s) and nobody else’s.”120 Here is an explicit claim by an individual, through the medium of al-Jāḥiẓ, for inclusion in the entourage of the caliph, stating clearly who and what he and they were. It was an assertion that they occupied a place of power and privilege, of which they could not be dispossessed (even though they eventually were).

Al-Maʿmūn’s new daʿwa spelled one thing for the existing power structure, a new dawla. Al-Maʿmūn’s followers would expect to be rewarded and the elite in Baghdad knew how. In this new paradigm the “abnāʾ al-dawla” would be superfluous. Also, as with any successful revolution or upheaval of this sort, in the first period the supporters of the old regime bear the brunt of retribution and dispossession until the dust settles. It is only then that the new regime recognizes that it needs the expertise and cooperation of the former members of the old regime. This can explain the process by which the abnāʾ were brought back into the fold. Once he came to Baghdad in 204/819, al-Maʿmūn recognized that he needed help in ruling come to what they desire of their property and of the comforts of life, they defend prosperity new to them and they remember tribulation [and] they do not wish to return to it.”

118. Edward William Lane, Arabic-English Lexicon (Cambridge: Islamic Texts Society, 1984), 2: 1967. It indicates “[Foreigners, as meaning] others than Arabs; . . . [often used as implying disparagement, like barbarians; and often especially meaning Persians;] . . . a man not of the Arabs.” It does not necessarily mean “Persian,” much less “Iranian.” The root meaning has to do with speaking unclearly or poorly, signifying an outlander usually from the east; see Gordon, “The Breaking of a Thousand Swords,” 49 n. 139, for other references. Also see C. Robinson, “The Study of Islamic Historiography: A Progress Report,” Journal of the Royal Asiatic Society 7 (1997): 220.
120. Ayalon, “Military Reforms,” 7. Also cited in Crone, “ʿAbbāsid Abnāʾ,” 16, who says, contrary to Zakeri, that “they are not boasting of the past relationship with the Sasanid emperors, but of their current relationship with the ʿAbbāsid caliphs: kings is simply a synonym for caliphs here.” This hits the nail on the head, but Crone does not recognize it for what it is. Citing al-Jāḥiẓ, “Manāqib al-turk” in Rasāʾil al-Jāḥiẓ, ed. ʿA. S. M. Hārūn (Cairo, 1965), 28.
and keeping order in the city. As a result, he made a concerted effort to make peace with them.

At the beginning of the siege in 197/812, al-Ma'mün’s army was sitting at the gates of Baghdad after having won several victories en route. Most importantly, this force was made up of rivals for the positions of the Baghdad elite. The individuals who were to make up the abnā’ faced a “legitimation crisis.” This legitimation crisis forced a coalescing of groups around identities that provided meaningful responses to the situation and that served to protect status and position, meaning that those who held position and power saw advantage in coalescing for collective action. In the terminology of Charles Tilly, the claim of al-Ma’mün and his followers or supporters forced “reactive collective action” on the part of the notables of Baghdad.

In addition, during the siege those without position and power, i.e., the “Naked Warriors” saw a way to assert their belonging to the community and their status as defenders of the faith. They were acting with “proactive collective action.” This deepened the legitimation crisis, enhancing the need to identify collectively for the elite elements of Baghdad. This does not need to have been a conscious choice, as people are rarely so baldly opportunistic. There is no single catalyst for the concrescence of any identity, but for the abnā’ the army bearing down on them and the siege of Baghdad were the main impetus for mapping a new one. This pressure, combined with the arming of the naked warriors, meant that in order to survive and have hope of maintaining their social roles, even if defeated, they would have to tie themselves to the household and not just to the caliph. This meant that they would have to stake claim to this position and define themselves as different from the other groups with whom they were jockeying. With regard to modern national identities, Rodney Hall tells us:

> individuals perceive that their interest lies squarely in the defense and promotion of this collective identity. The fundamental, even primordial, motive (or “interest”) of self-preservation will

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121. J. Habermas, *Legitimation Crisis*, trans. T. McCarthy (Boston: Beacon Press, 1975), 3–4. In periods of crisis members of society restructure their interpretive system, which had allowed them to identify “one another as belonging to the same group, and through this group identity assert their own self-identity.” In this process of restructuring, the individuals seek out new ways of asserting belonging.


123. Tilly, “Revolutions and Collective Violence,” in *Macropolitical Theory*, ed. F. I. Greenstein and N. W. Polsby (Reading: Addison-Wesley, 1975), 507: “reactive collective action: some group or its agent lays claim to a resource currently under the control of another particular group, and the members of the second resist the exercise of that claim.” And “I suggest that contenders which are losing membership in a polity are especially prone to reactive collective action.”


125. Tilly, “Revolutions and Collective Violence,” 507: “proactive collective action: some group carries out an action which, under the prevailing rules, lays claim to a resource not previously accorded to that group; at least one group intervenes in the action and resists the claim.”

126. L. Greenfeld, *Nationalism: Five Roads to Modernity* (Cambridge, Mass.: Harvard Univ. Press, 1992), 178. Greenfeld makes the observation that “the adoption of a new, national identity is precipitated by a regrouping within or change in the position of influential social groups—a crisis of identity, structurally expressed as ‘anomie’—which creates among them an incentive to search for and, given the availability, adopt a new identity. The crisis of identity as such does not explain why the identity which is adopted is national, but only why there is a predisposition to opt for some new identity.” I would add that it is not essential that they choose a national identity, any identity that provided salient meaning and support would do.

then ensure that individuals will come fully to the defense of the collective identity that they see as fully constitutive of their selves when they feel that collective identity is threatened.\textsuperscript{128} People are multifaceted. The collective identity does not have to be the sole form of identification for the threat to cause baseline tremors in the individual’s perception of his or her social reality. I disagree with the notion that it is a purely modern state of affairs that people subscribe, unsubscribe, and resubscribe to group identity based on relationships and changing conditions. How a person self-identifies is determined by the question to which he is responding. A person may answer in one context with a tribal name and in another with a geographical descriptor. We all have fragments of identity that we deploy in response to different situations.\textsuperscript{129} All around us (metaphorically) are artifacts or tools of identity that we pick up when they are relevant and useful, and discard when they are not meaningful. This does not mean that identities are not strongly held or that they are created out of nothing for purely utilitarian reasons. It means that they are determined by the milieu in which a person is located and how that individual interprets and responds to that context. This is what those who claimed to be the \textit{abn\textquotesingle} al-dawla, the sons of the dynasty, were doing. They were picking up an identity-tool, using it and when it was no longer meaningful they put it back down.

This nomenclature is not determined by some sort of cynical, manipulative conspiracy directed from a central core for its own gain, as Ernest Gellner, Benedict Anderson and E. J. Hobsbawm might be interpreted as saying.\textsuperscript{130} Clearly identities can be and are used and strengthened by political leaders for cynical and manipulative purposes, but it does not necessarily follow that those forces manufacture the building blocks for them.\textsuperscript{131} David McCrone makes the point that nationalisms, and I would add all identities, are defined and determined by individuals making conscious or unconscious choices.\textsuperscript{132} These choices can be and are reinforced by a government using the tools that Anderson describes: maps, a pledge of allegiance, a flag, and language.\textsuperscript{133} However, at root, their basic strength comes from personal subscriptions. Everyone is distinct and different and knows what he identifies himself as. The trick comes in making the borders of that identity just blurry enough so that he finds a connection with others close enough that they can claim the same identifier and thus a commonality allowing for the perception of shared interests. The blurring can come from above, using the processes that Anderson describes but, most importantly, it comes from within because it serves some specific need or interest. This does not have to be, nor is it likely to be, conscious. The members of a social system make interpretations of their surroundings and of those surrounding them that allow them to be and to accept others as belonging to the same group, which in turn allows each person to proclaim an identity.\textsuperscript{134} It

\begin{itemize}
\item \textsuperscript{128} R. B. Hall, \textit{National Collective Identity} (New York: Columbia Univ. Press, 1999), 38.
\item \textsuperscript{129} See R. Tapper, “Ethnic Identities and Social Categories in Iran and Afghanistan,” in \textit{History and Ethnicity}, ed. E. Tonkin (London: Routledge, 1989), 239: “Cultural identities, whether ethnic or otherwise, make sense only in social contexts, and they are essentially negotiable and subject to strategic manipulations. Individuals claim status, present themselves, in different ways in different contexts. How they do so depends particularly on power relations, government policies, and local hierarchies.”
\item \textsuperscript{131} For a little acknowledged subtlety in Gellner’s writings, see his \textit{Thought and Change} (London: Weidenfeld and Nicolson, 1964), 169. In the same breath as his statement that nationalism “invents nations where they do not exist” he notes that cultural raw materials must be available for this invention.
\item \textsuperscript{132} McCrone, \textit{The Sociology of Nationalism}, 25–36.
\item \textsuperscript{133} Anderson, \textit{Imagined Communities}, 113–14.
\item \textsuperscript{134} See Habermas, \textit{Legitimation Crisis}, 3–5, for the seeds of the following line of thought.
\end{itemize}
is through this process that a collective identity is defined and ultimately subscribed to. If the social system that is partially founded upon this collective identity is threatened, this presents a very real threat to the individual’s sense of self. If a rupture in the system occurs, one is forced to reassess his place in relation to other members of a collective identity to which they jointly subscribe and the relation of this collective identity to the social system at large. If the rupture is severe enough, the social system begins to break down and members are forced to re-identify in order to find their place within that system. If the collective identity’s relevancy or meaning is undermined, people will search for alternative definitions for it; if none can be found, they will search out other forms with which to encode their selves and their social space.

This, I suggest, is what occurred with the abnā’ al-dawla. They responded to the threat of al-Ma’mūn and his followers. Many of the elite in Baghdad found interests that they had in common and coalesced into a collective. Part of this involved defining their relationships to the caliph, to the rabble of the capital, to al-Ma’mūn’s forces, and to each other. As long as their prosperity and position was tied to the caliphate and there was a clear and present threat to their positions, then there was reason and reward in acclaiming themselves the abnā’ al-dawla tied to al-Amin, but most importantly to the dynasty. Hence they chose the phrase abnā’ al-dawla and not abnā’ al-Amin. Once al-Amin had been removed from power, the collective identity of the abnā’ al-dawla had to be redefined or it would pass from usefulness. Each had to acculturate to the new regime and newly redefined roles, which some of them did and were reintegrated. However, they were no longer at the center of power and the designation as members of the abnā’ al-dawla no longer held compelling utility. It disintegrated and in its place a host of subsumed identities resurfaced and other choices presented themselves. The individuals discarded the no longer relevant identifier and turned to other alternatives. It would occasionally be used again, but it never held the force or urgency that it had during the fourth fitna.

Being abnā’ al-dawla was not an essentialized part of their selves, nor had there been time for it to become perceived as such. They could choose to use different terminologies. It was only in the face of al-Ma’mūn’s forces, which expressly intended to replace them at the center of the Islamic world, that some of the descendants of the Abbasid revolutionaries began to define themselves as the sons of the dynasty, and in the process excluded al-Ma’mūn’s followers from the category. Once the threat had been fulfilled, new identities were necessary and old ones that had been subsumed were allowed to resurface. The collectivity, made up of individuals who had come together to form the abnā’ al-dawla, splintered into its constituent parts based on preexisting, underlying knowledges of self. What had become the second tier of identification rose again to the forefront and returned to being primary motivators for collective action, based on different criteria. The abnā’ al-dawla supra-identity had been asserted to fulfill a specific defensive need. This is not to say that it was false, but that it was “imagined” and the materials that were available were used once they became relevant and useful. Before, they had not been relevant, nor were they afterwards.

THE FOURTH FITNA AND THE POINT OF DEFINITION

Now let us turn to the formation of this collective. Upon al-Rashid’s death, al-Amīn became caliph and al-Ma’mūn governed in Khurasan.135 Several attempts were made to

convince al-Ma'mūn to step aside in Khurasan and become a less powerful governor, but he refused. A tense, nervous coexistence followed for almost two years. Matters became more heated in Safar 195/November 810 when al-Āmin designated his son as the heir and removed al-Ma'mūn from the succession. In response, al-Ma'mūn proclaimed himself “imām al-hudā,” the “imam of Right Guidance,” drawing an obvious contrast between himself and his brother. Then al-Āmin sent a letter to his commanders in which he listed what al-Ma'mūn had done wrong. The letter made it clear that al-Ma'mūn was asserting that in essence he was caliph. Al-Āmin pointed out that this represented a threat to those commanders whom he had just reminded of their oaths and ties of loyalty to him. Al-Fadl b. Rabī‘ “mentioned concerning it that no one had a right to the imamate or the caliphate except the Commander of the Faithful, Muhammad al-Āmin, and verily God did not give to 'Abdallāh or any other a share of these or [even a] portion.”

Al-Āmin then dispatched his army under the command of 'Alī b. Isā b. Māhiḥ. Al-Ma'mūn responded, after protracted waffling, by unleashing Ṭāhir b. al-Husayn to fight them. In what might be a trope, Ṭāhir’s army is described as being vastly outnumbered. Despite this, he defeated his opponent at Rayy in Sha'bān 195/April–May 811. As a result, al-Ma'mūn officially took the title of caliph. Kennedy calls this “an unqualified disaster” for the Abnā'.

There are two accounts of this battle in al-Ṭabarī, but with differing dates. Both of these deserve close inspection to determine who took part on al-Āmin’s side and how their identities were encoded. In the first account al-Āmin sends 'Alī b. Isā with a large army forcibly to bring al-Ma'mūn to Baghdad, in silver chains if need be. 'Alī leaves Baghdad in the middle of Jumādā II, 195/March, 811. The armies of Ṭāhir and 'Alī b. Isā meet outside of Rayy in Sha'bān, 195/April–May, 811. Paralleling the battle of Siffin, a truce is called and some of Ṭāhir’s men remind 'Alī b. Isā of his oath of allegiance to al-Rashid and the succession agreement. 'Alī responds in anger at the messenger whom he recognizes, saying “Oh ahl Khurasan, for he who brings him to me there will be a thousand dirhams!” He calls his troops the Ahl Khurasān. He does not refer to them as abnā’ or abnā’ al-dawla. In a similar way, 'Alī is characterized as the “shaykh of the da’wa and the remainder (baqīya) of the people of its partisans,” and al-Āmin says that “the shaykh of this da’wa, and the tooth (nab) of this dawla” will not abandon his imam.

136. al-Ṭabarī, Ta’rīkh, 3: 796.6.
137. Ibid., 796.
138. Ibid., 797.4–6. His and al-Āmin’s letter stop just short of labeling al-Ma’mūn an unbeliever. It is important to note that these commanders are identified as ahl Khurasān and not as abnā’ al-dawla.
141. al-Ṭabarī, Ta’rīkh, 3: 798.1. It is interesting that al-Ya’qūbī, al-Ta’rīkh, v. 2, 530.13 characterizes this force as mercenary.
142. al-Ṭabarī, Ta’rīkh, 3: 797.18–19.
143. Ibid., 801.3–4. In al-Ya’qūbī, al-Ta’rīkh, v. 2, 532.6–7, they are also referred to as the ahl Khurasān.
144. al-Ṭabarī, Ta’rīkh, 3: 808.16. In al-Dinawarī, Kitāb al-Akhbār, 396.7, al-Āmin calls him “the Shaykh of this dawla.”
145. al-Ṭabarī, Ta’rīkh, 3: 810.1–2.
146. Ibid., 801–2.
In the second account, the date for 'Ali b. 'Isa’s departure from Baghdad is given as the 7th of Sha'bân 195/May 4, 811.\textsuperscript{147} Al-Amin “called 'Ali b. 'Isa b. Mähän and he assigned to him 50,000 horsemen and infantry from among the ahl Baghdad.”\textsuperscript{148} The abnā’ al-dawla are not summoned, only the ahl Baghdad are named. It is at this point that the oath is taken to the new heirs. In return, al-Amin “gave them, the Banū Hāshim and the commanders and the army, things and rewards”—again no abnā’.\textsuperscript{149} As in the first account, the two armies meet outside of Rayy. The battle is fierce, but eventually Tahir’s forces begin to carry the day and 'Ali b. 'Isa’s troops begin to retreat. As the rout is on, ‘Ali b. 'Isa calls out: “Where are the companions of the bracelets and the crowns? Oh, ye abnā’, to the counterattack!”\textsuperscript{150} He is killed after uttering these words. Interestingly, he said abnā’ and does not say abnā’ al-dawla. In this entire encounter, in both accounts, this is the only reference to abnā’.

In the account of al-Dinawari, al-Amin sends 'Ali b. 'Isa out with six thousand men “from the champions of the army [infantry] and their horsemen,” and his army is described as “ahl Khurāsān.”\textsuperscript{151} At one point in al-Dinawari, before ‘Ali b. 'Isa is sent out, the comment is made: “Oh, ahl Khurāsān, renew your oath to your imam al-Amin.”\textsuperscript{152} The supporters of al-Amin are labeled ahl Khurāsān. Nowhere in al-Dinawari’s account of the battle is there a reference to abnā’ of any sort.\textsuperscript{153} But when al-Amin is told about the defeat, 'Abd al-Rahmān is labeled as al-Abnāwī and is dispatched with men from the abnā’.

In al-Tabari, as in al-Dinawari, the next group that is sent out against Tāhir is clearly labeled as abnā’. When the news of ‘Ali b. 'Isa’s defeat and death reaches Baghdad, “the people spread false rumors . . . and this was Thursday, the middle of Shawwal of the year 195 (July, 811).”\textsuperscript{154} Five months after ‘Ali b. 'Isa’s force had been dispatched, another is sent out against Tāhir by al-Amin. This one is led by 'Abd al-Rahmān b. Jabala. Al-Tabari informs us that al-Amin “sent 'Abd al-Rahmān al-Abnāwī among 20,000 men from the Abnā’” and “horsemen of the Abnā’ and people of bravery, courage, and ability from among them.”\textsuperscript{155} This time the army is clearly labeled not as ahl Khurāsān, as 'Ali b. 'Isa’s army had been, but as abnā’. This is the point at which the abnā’ appear,\textsuperscript{156} following the defeat of al-Amin’s best general and with the realization that al-Ma’mūn and his forces present a very real threat.\textsuperscript{157} Interestingly, one of al-Amin’s commanders says of Tāhir: “And with
him are those whom you know from the infantry of Khurasan and its horsemen. He was your master yesterday."\textsuperscript{159} Thir and Ābd al-Rahmān engage in battle outside of Hamadhān. During this battle Ābd al-Rahmān encourages his men by saying, “Oh Abnā’! Oh, sons of kings, and [those] familiar with swords! Verily they are the ‘ajam and are neither the same as people of endurance nor steadfastness.”\textsuperscript{160} This is a clear example of the status assertion that the abnā’ were making, defining themselves as an elite in opposition to the other. The battle is hard fought, but Ābd al-Rahmān is forced back and he retreats into Hamadhān and Tāhir lays siege. After some time, Ābd al-Rahmān requests safe passage out of the city with his men. Tāhir grants it, but as they are leaving they ambush Tāhir’s forces.\textsuperscript{161} Ābd al-Rahmān dies during the struggle, but some of his men escape. According to al-Ṭabarī, they spread the news of the defeat and al-Amin’s armies melt away, leaving the path to Baghdad open. It is then a full year before Tāhir reaches Baghdad. After the defeat of Āli b. ʻĪsā, we begin to see the abnā’ mentioned more and more frequently until the end of the siege and then only sporadically until al-Ma’mūn comes to Baghdad. At that point the number of references to them declines dramatically.

The threat posed by al-Ma’mūn caused the abnā’ to coalesce as a collective, but their interests and ties of loyalties were too diffuse to maintain it once the compelling reasons to identify as such were removed. It was not a group present from the beginning of Abbasid rule, bound by a sense of ethnic or national ties. It was the subscription to an identity by a group of military supporters of the Abbasid caliphate based in Baghdad, claiming special ties of loyalty to that caliphate and the caliphal household, intended to supersede the claim that could be made by al-Ma’mūn’s forces. It was a claim driven by a threat to position within a social system. Once the cause was lost, al-Amin defeated (and dead) and their positions assumed by al-Ma’mūn’s followers, then it was politically and economically difficult to sustain solidarity in an identity that had lost its relevance and meaning. The abnā’ fractured, disintegrated and, after a period of social renegotiation of power roles, the individuals began to coalesce around the new caliph and their identities were reconstituted and adapted.

\textsuperscript{159} al-Ṭabarī, Taʾrīkh, 3: 827.20–828.1.
\textsuperscript{160} Ibid., 829.4–5.
\textsuperscript{161} Ibid., 831–32.